

## Annual Review for Children with Special Educational Needs

**Notes of Guidance** 

**Statutory Assessment and Review Service** 

September 2023

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#### 1. Introduction

These Notes of Guidance have been agreed regionally and implemented across the offices of the Education Authority (EA). They include standardised Annual Review documentation. They are reflective of the requirements of schools and the EA to make arrangements for the Annual Review of children with Special Educational Needs as prescribed in legislation – specifically the Education (NI) Order 1996 ("The 1996 Order"), the Special Educational Needs and Disability Order (SENDO) 2005 and the Education (SEN) Regulations (NI) 2005 No 384.

They have been devised to identify for schools the main elements of the Annual Review process and include more detailed information on Transition Planning and the role of the Education Transitions Co-ordinators within the EA. They can be downloaded from the Education Authority website.

These Notes of Guidance are not intended to be a formal interpretation of the statutory legislation governing the education of children and young persons on whom the EA maintains a Statement of Special Educational Needs. Neither do they provide the detail contained in the relevant legislation, the Code of Practice on the Identification and Assessment of Special Educational Needs (1998) or the Supplement to the Code of Practice on the Identification and Assessment of Special Educational Needs (2005).

Article 4 of "the 1996 Order" places a duty on the EA to have regard to the provision of the Code of Practice. For that reason the guidance in this document should not be viewed as a replacement for or a departure from the legislation or the guidance given in the Code of Practice. The intention in preparing this document is to make explicit the ways in which the EA and schools will put the legislation and the guidance from the Code of Practice into operation.

Boards of Governors and Principals should ensure that they have copies of The Code of Practice and relevant legislation in school for reference purposes.

The Advice and Information Service and the Dispute Avoidance and Resolution Service (DARS) are available to parents and schools and can be contacted through your Education Authority office.

Parents also have a right of appeal to the Special Educational Needs and Disability Tribunal (SENDIST) on a range of statutory assessment processes, about which the content and outcomes of Annual Reviews will have a significant bearing.

In this document the term 'parents' should be interpreted to mean 'those with parental responsibility' as defined under the Children (Northern Ireland) Order 1995 (DE Circular 1999/17).

#### 2. What is an Annual Review?

Article 19 of the Education (Northern Ireland) Order 1996 requires that any child or young person who is the subject of a Statement of Special Educational Needs, whether attending a special or mainstream school, must be reviewed within the period of twelve months beginning with the making of the Statement or, as the case may be, with the previous Review, to make sure that the needs of the child or young person are still being met and to consider the appropriateness of the placement. Annual Reviews should be seen as part of the process of continuous assessment of the child's progress.

#### 3. The purpose of the Annual Review

The purpose of an Annual Review is:-

- to assess the child's progress towards meeting the objectives specified in the statement and the targets agreed following the making of the Statement;
- to review the special provision made for the child, including placement;
- to consider the appropriateness of maintaining the statement.

#### 4. Who carries out the Review?

#### The school undertakes the review on behalf of the EA.

The principal may delegate any prescribed duties to a teacher of the school. In these circumstances the principal must be satisfied that this teacher is fully aware of the statutory requirements and has a copy of:-

- the Annual Review Notes of Guidance;
- the Code of Practice on the Identification and Assessment of Special Educational Needs (1998);

- the Supplement to the Code of Practice on the Identification and Assessment of Special Educational Needs (2005); and
- SEND (NI) Act 2016

#### Requesting involvement from the Educational Psychology Service (EPS) for Annual Review

One of EA's absolute priorities is to ensure that every child has a place that fully meets their needs. To assist with this process schools are asked to confirm if EPS involvement is required for annual review - priority will be given to transfer age pupils (Nursery, Year 6, and Year 7). This early indication of requested involvement will notify your school's EP immediately, improving the planning and completion of review meetings and create more time to ensure that pupils are correctly placed.

This facility is available on <u>EA Connect</u> for any pupil that requires a contribution to annual review. Please click on this <u>User guide</u> to request EPS involvement for transfer annual reviews.

Situations that warrant Educational Psychology involvement are:

- Where school identifies a need for additional information on the child's SEN (i.e. a new SEN);
- School or SARS request it because there is a significant change in the pupil's SEN or there is clear evidence that the current placement type is no longer meeting the child's SEN (i.e. child needs to move from mainstream to special or vice versa);
- An Educational Psychologist (EP) has indicated there is a requirement for a review; or
- There is a difference of opinion between the EP, school and/or parents.

If it is reported that the pupil has a new diagnosis or if there are concerns, it is important that this information is confirmed by the Health & Social Care Trust as it relates to the pupil's continuing need for support. To avoid putting unnecessary pressure on the Health & Social Care Trust, medical/clinical staff should **only** be asked to attend Annual Review **or** contribute where there is evidence of need or concerns. Any evidence received should be attached to the Annual Review documentation.

# 5. Summary of Annual Review process where a child not aged 14 attends school

5.1. The EA will notify the principal, in writing, of those children in his/her school for whom an Annual Review must be undertaken and the date by which the report should be submitted to the EA.

5.2. The principal writes to parents and professionals asking for written contributions and giving notice of the date of the annual review meeting. This should be done at least six weeks prior to the date of the meeting.

**NB:** In order to avoid putting undue pressure on the Health & Social Care Trust, the medical/clinical staff should only be asked to attend or contribute where there is evidence of need or concerns expressed. Any evidence received should be attached to the annual review documentation. (Letter templates are attached for your convenience)

Those invited to the meeting should include:-

- (a) the representative of the EA
- (b) the child's parent;
- (c) class teacher or subject teachers (it may be that the last school report may be used by the school but all those involved in teaching the child should be given an opportunity to update their assessment (if appropriate) and complete the "Monitoring Record for Annual Review of a Pupil with a Statement of Special Educational Needs" (Form MR) (Appendix 2) for the meeting. This should be completed by the SENCo or class teacher;
- (d) any other person who the principal considers appropriate; and
- (e) any other person whose attendance the EA considers appropriate.
- 5.3. In accordance with legislation, invitations to attend the Annual Review must be issued by the principal no later than **two weeks** prior to the date of the meeting together with any written contributions that the school has received. The principal must, in writing, request the recipients to submit to him/her before or at the meeting any written comments on that advice and any other advice they think appropriate.
- 5.4. The Review meeting should normally take place in the school and should be chaired by the principal or the teacher who has been designated to carry out the Review.

The following questions should be considered: -

- What are the parents' views of the past year's progress and their aspirations for the future?
- What are the pupil's views of the past year's progress and his or her aspirations for the future?

- What is the school's view of the child's progress over the past year? What has been
  the child's progress towards meeting the overall objectives in the Statement? What
  success has the child achieved in meeting the targets set?
- Have there been significant changes in the child's circumstances which affect his or her development and progress?
- Is current provision, including the Northern Ireland Curriculum or arrangements substituted for it, appropriate to the child's needs?
- What educational targets should be adopted against which the child's educational progress will be assessed during the coming year and at the next review?
- Is any further action required and if so, by whom?
- Does the Statement remain appropriate?
- Are any amendments to the Statement required or should the EA be recommended to cease or maintain it?
- 5.5. For 23-24, EA Connect is the preferred choice for submission of Annual Reviews. Further information on how to register your school to use EA Connect, attend online training sessions, access user guides & video tutorials and for any additional information about EA Connect, please follow this link <a href="SEN Annual Reviews">SEN Annual Reviews</a> (Schools) | Education Authority Northern Ireland (eani.org.uk).
- 5.6. Paper copies of review documentation will also be acceptable The Annual Review Form (Form AR) (Appendix 1) should be completed to reflect the issues discussed and the outcome of the meeting and should be forwarded to the EA with copies circulated to parents and all attendees and advice givers. Separately scanned Annual Review documentation for each child should be saved and sent - please refer to the Annual Review Letter for details.
- 5.7. Upon receipt of the Annual Review Form (Form AR), the Monitoring Record Form (Form MR) and all other relevant documentation, the EA will review the Statement and determine whether to maintain the Statement, amend the Statement or cease to maintain the Statement of Special Educational Needs.

- 5.8. The decision of the EA will be notified to those with parental responsibility and other relevant parties as soon as possible.
- 5.9. If the EA considers that the Annual Review is incomplete and/or appropriate documentation is omitted, for whatever reason, the review documents can be returned to the school for completion.

#### 6. Transfer Age Children

The Annual Review has particular significance as a child reaches the age to transfer to primary or to post-primary education and requires careful planning.

The Annual Review Form (Form AR) together with the Monitoring Record Form (Form MR) and all other relevant documentation should be completed and returned to the EA. Any necessary examination concessions required should be highlighted on Form AR. The EA may also require that a Transfer Form (Form TR) be completed.

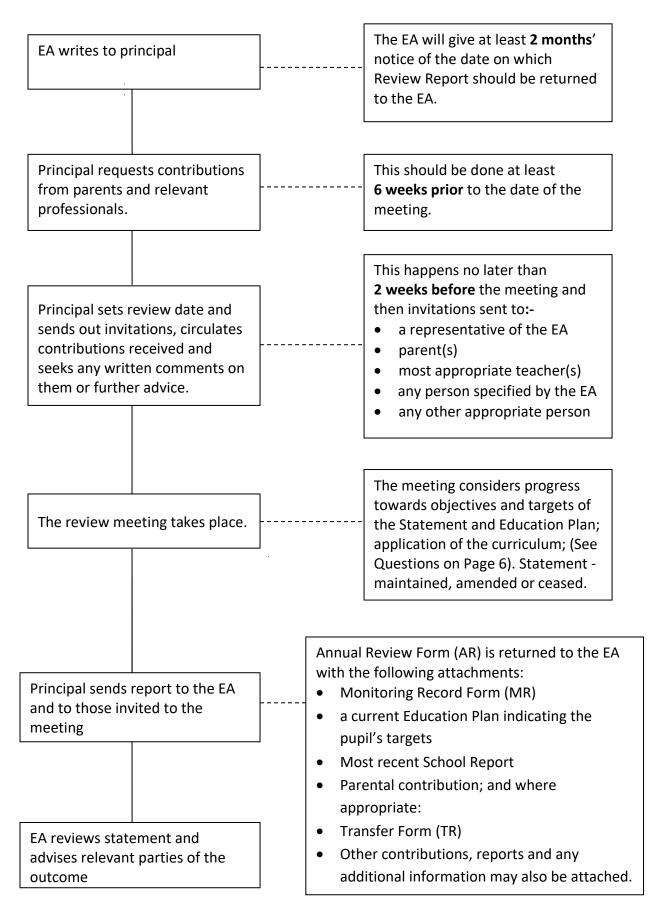
It is strongly recommended that more than one school preference is listed. The school should ensure that parents are aware that this is a preference and not a choice.

Parents should also be made aware of the Transport Policy.

The Education Authority has ultimate responsibility to place a child on whom it maintains a Statement.

# Annual Review Flowchart - where a child not aged 14 attends school OR EOTAS

(Resulting in completion of the Annual Review Form AR)



### 7. Disabled Persons (NI) Act (1989)

The Board has a statutory duty to seek an opinion from the Health and Social Care Trust as to whether a child with a Statement of Special Educational Needs is a disabled person and may require services from a Health and Social Care Trust after leaving school. In some cases the EA has already been advised that the child has been registered and will make that known to the school. This should be confirmed by the Senior Clinical Medical Officer/Consultant Paediatrician/Designated Medical Officer. It is important that if, at any time, after the first Review after a child attains 14 years, the young person's circumstances change, a further opinion is sought from the Health and Social Care Trust.

If a young person is registered disabled, the legislation requires that the EA notifies the Health and Social Care Trust of the expected school leaving age between 8 and 12 months in advance of that date, (if it is known). The EA will be responsible for notifying the Health and Social Care Trust.

#### 8. The Annual Review Documentation (see Appendices)

- 8.1. The Annual Review Form AR (Appendix 1) should be completed for EVERY Annual Review. The type of Annual Review should be indicated by ticking the 'Type of Review' box on Form AR i.e. Annual Review, Transfer Review, or Transition (14+ Review).
- 8.2. The Monitoring Record for Annual Review for a Pupil with a Statement of Special Educational Needs (Appendix 2) should be completed for every Annual Review where test scores are available.
- 8.3. **Pupil and Parent contributions** should be sought using **Appendices 3 and 4** and submitted with the Annual Review Form.
- 8.4. Additional forms required due to Transfer/Change of Placement Form TR (Appendix5), are also enclosed and should be attached to Form AR as appropriate.
- 8.5. All written contributions received should be attached to the Form AR and their inclusion indicated in the section 'Annual Review Meeting' by ticking the appropriate box.

Names of persons invited, their designation, attendance, and whether or not a contribution was received should be recorded in the 'Annual Review Meeting' box of the Annual Review Form AR.

The pupil's current Individual Education Plan/Personal Learning Plan (IEP/PLP) and the most recent School Report together with other reports/contributions should be attached and their presence indicated in the 'Reports/Contributions Attached' box of AR.



APPENDIX 1 FORM AR

### Before completing this form please carefully read the Notes of Guidance Special Educational Needs Annual Review 2023/2024

Action Requested □ Yes

Date of Annu	ual R	eview me	etin	g:					⊔ No
Pupil's Name				School	ı				
Date of Birth				Date o	of Adn	nission			
Address				 Year G	Group				
				 Class S	Size				
				 Pupil's	s SEN				
Person(s) with Parental Re	spon	sibility							
Name									
Address if different									
from above									
Telephone No									
Parental email									
Persons invited						Con	tribution		Attended
Name		Designo	atio	n	R	equested	Recei	ived	Y / N
					<del></del>				
					<del></del>		Γ	7	П
					<del></del>	$\overline{\Box}$		_ 	$\overline{\Box}$
								_ ¬	
					-	Ш	L		
Please (✓) as appropriate  Type of Review		Action							
				Discourant		☐ Schoo	ol Leaver		
☐ Annual Review				Placement		Leaving I	Date		
☐ Transfer Review				ent to Statement		□ Othe	r (please s <sub>l</sub>	necify)	
☐ Transition (14+) Review		☐ Cease							
		☐ No Cha							
Current Provision (Please (	V) (	Give detail	is oj	each provision	eg tin	ne)			
Specialist Equipment (specify)									
Additional Teaching									
Adult Assistance (specify)									
Disability Access requirements	5						,		
Therapy				Choose an item.			Choose		
Pupil Support Service (specify)				Choose an item.			Choose	an item.	
Examination Concessions				Choose an item.		Choose an	item.	Choose	an item.

Review of the Statement of Special Educational Needs			Yes	No
Do the objectives of the Statement remain appropriat If not, please state the reasons.	te?			
Does the pupil have access to the full NI Curriculum wi	ithout exemptions	or modifications?		
If not, please give details.				
Are there any significant new needs that are not recor appropriate documentary evidence.	ded on the Statem	ent? If yes, please attach		
Has the pupil made satisfactory progress towards achied Education Plan during the past year? If not, please given		et out in his/her		
Is there any reason why the provision should be amer please attach appropriate documentary evidence.	nded to meet the p	upil's needs? If yes,		
Is the present placement appropriate to meet the pup provide details.	il's Special Educatio	onal Needs? If not, pleas	е	
Should the Statement continue to be maintained?		Maintained		
If not, please state the reasons		Amended		
		Ceased		
		1		- I
•	ibutions Attached:	-		
☐ Current Education Plan	•	ch & Language Report		
☐ Most Recent School Report	•	otherapy Report		
☐ Transfer Form (Appendix 5)	·	pational Therapy Report		
☐ Transition (14+) Plan		Services Report		
☐ Educational Psychology Report		tal Contribution Contribution		
☐ Pupil Support Service Report(s)☐ Medical Report	☐ Pupii			
Are all those attending Review in agreement? (If not, p	lease attach details.)	Yes □	No 🗆	
SENCO:	Date	:		
Principal:	Date	<b>:</b>		



#### APPENDIX 1 FORM AR POST PRIMARY

Action							
Requested							
☐ Yes							
□ No							

# Before completing this form please carefully read the Notes of Guidance Special Educational Needs Annual Review 2023/2024

Date of Annu	ual R	Reviev	v meetin	g:				
Pupil's Name				S	chool			
Date of Birth				D	ate of	Admission		
Address				Υ	ear Gro	oup		
				<i>C</i>	lass Siz	е		
				P	upil's S	EN		
Person(s) with Parental Re	cno	ncihili	·					
Person(s) with Parental Ne	ε τρυί	וווטונו	ιy					
Name					ı			
Address if different								
from above								
Telephone No								
Parental email								
Persons invited							tribution	Attended
Name		De	signatio	n		Requested	Receive	d Y/N
						П		
N/ A								
Please (✔) as appropriate  Type of Review	Ī	Actio	n					
☐ Annual Review		П	hange of	Placement			ol Leaver	
☐ Transfer Review				ent to Statem	ont.	Leaving	Date	
					ient	☐ Othe	r (please spec	cify)
☐ Transition (14+) Review			ease State To Change					
Current Provision (Please (	'\) (				ision eg	time)		
Specialist Equipment (specify	<i>'</i> )							
Additional Teaching								
Adult Assistance (specify)								
Disability Access requirement	ts							
Therapy				Choose an	item.		Choose an	item.
Pupil Support Service (specify	<i>ı</i> )	_		Choose an	item.		Choose an	item.
Examination Concessions				Choose an	item.	Choose as	n item.	Choose an item.

Review of the Statement of Special Educational Needs			Yes	No	
Do the objectives of the Statement remain appropriate	?				
If not, please state the reasons.					
Does the pupil have access to the full NI Curriculum wit	hout exemptions	or modifications?			
If not, please give details.					
Are there any significant new needs that are not recorded on the Statement? If yes, please attach appropriate documentary evidence.					
Has the pupil made satisfactory progress towards achieving the targets set out in his/her Education Plan during the past year? If not, please give details.					
Is there any reason why the provision should be amended to meet the pupil's needs? If yes, please attach appropriate documentary evidence.					
Is the present placement appropriate to meet the pupil's Special Educational Needs? If not, please provide details.					
Should the Statement continue to be maintained?  Maintained					
If not, please state the reasons		Amended			
Ceased					
If applicable, has a Transition plan (14+) been drawn up?					
If applicable has the Transition Plan been reviewed?					
If the Transition Plan has been revised, please attach a copy of t	the revised Plan.		1		
Reports/Contrib	outions Attached:	-			
☐ Current Education Plan		ch & Language Report			
☐ Most Recent School Report	•	otherapy Report			
☐ Transfer Form (Appendix 5)		pational Therapy Report			
☐ Transition (14+) Plan		l Services Report			
☐ Educational Psychology Report		ntal Contribution			
<ul><li>☐ Pupil Support Service Report(s)</li><li>☐ Medical Report</li></ul>	☐ Pupii	Contribution r			
<u>`</u>					
Are all those attending Review in agreement? (If not, ple	ease attach details.)	Yes ⊔	No 🗆		
SENCO:	Date	:			
Principal:	Date	:			



#### **APPENDIX 2**

# MONITORING RECORD FOR THE ANNUAL REVIEW OF A PUPIL WITH A STATEMENT OF SPECIAL EDUCATIONAL NEEDS

		EDOCATIONAL NEED	<u>2</u>					
Pupil Name:	School	School:		ategory: em.				
Date of Birth Click or to date.	ap to enter a Year/c	lass: Choose an iter	n. Secondary SEN appropriate): Choose an iter	N Category/ies (if				
Pupil ID No:	Percen school	tage attendance in cur year:	rent Medical Categ Choose an iter	ory (if appropriate): n.				
	1.0000	ttach attendance record						
Please outline the sp	ecific strategies, inter	ventions, and pupil's re	esponse to these belov	v:				
		ECENT STANDARDISED						
(Rele	evant for Pupils with S	SEN in the Areas of SpLI	D, MLD or Speech and	<u>Language)</u>				
Name of Test	Date of Test	Age at Testing	Age Equivalent	Standardised Score				
	Click or tap to enter a date.							
	Click or tap to enter a date.							

ivaline of Test	<u>Bute or rest</u>	rige at resting	rige Equivalent	<u>standardised score</u>
	Click or tap to enter a date.			
	Click or tap to enter a date.			
	Click or tap to enter a date.			
	Click or tap to enter a date.			
	Click or tap to enter a date.			
	Click or tap to enter a date.			

<sup>\*</sup>Please provide up-to-date attainment scores, ie within the last 6 months.

Signed:		Date:	
	Principal/SENCo		



# Appendix 3: Annual Review Report – Contribution from person with Parental Responsibility

The Code of Practice says that as part of the Annual Review Report, written representation, and views of those with parental responsibility should be sought about the progress of the child.

My views about my child's progress					
Pupil name:	DoB:				
	l				
Q. What are your child's strengths?					
	الاستان ما المسامد				
Q. How has your child progressed since the last a	annual review?				
Q. Have there been any changes that might affect	ct your child's progress?				
Q. What priorities do you see for the incoming y	ear?				
Q. Is there anything else that you would like to discuss?					
Signed Date					



## <u>Annual Review Report – Early Years Pupil's Contribution</u>

upil name:	DoB:
Prompt ideas and examples to help the child	Child's responses
give their views	Cilia s responses
What do you enjoy doing in school?	
<ul> <li>e.g reading, drawing, colouring,</li> </ul>	
counting, PE, singing, ABL, playing,	
task time etc.	
What do like to do outside school?	
a a faathall Caalia, avitary aftar	
- e.g football, Gaelic; guitar; after	
schools club; gymnastics etc.	
What are you getting better at doing in school?	
- e.g putting on my coat, lining up,	
sharing, using the cubes, colouring,	
saying my sounds, counting etc.	
What is hard for you to do in school?	
- e.g reading, writing, counting, making	
friends, listening, etc. you might like	
to use visuals from the visual	
timetable to support the child's	
choices	
What helps you to learn in school?	
<ul> <li>playground buddy, social stories;</li> </ul>	
visuals, going over my work with my	
teacher/CA; Numicon; time to answer;	
magnetic letters and my whiteboard	
etc.	
Ctc.	

FORM YPC - Early Years Pupil Views - Scripted by an adult



## <u>Annual Review Report – Early Years Pupil's Contribution</u>

Name	
Draw or write your answers in the	boxes.
I enjoy	ALCO .
I'm getting better at	
I like help with	
Signed by supporting adult	Date

FORM YPC - Early Years Pupil Views



# Appendix 4: Annual Review Report – Primary Pupil's Contribution (Version 1)

(Version 1)			
My Views about my Progress			
Pupil name:		DoB:	
Responses to be either written by pupil or with adult a views.	ssist	ance in support of gaining pupil	
Prompt ideas and examples to help pupils give their views	Pup	oil's responses	
Q. What do you enjoy doing at school?  - e.g. drawing, drama, art, singing, PE, etc.			
Q. What are you getting better at doing in school?  e.g. working with my study buddy; going over my work with my teacher/CA; using Numicon, using magnetic letters and my whiteboard; following my visual timetable; reading, numeracy, mental maths etc.			
Q. What do you enjoy doing when you are not at school?  - e.g. football, Gaelic, guitar, after schools club; youth club; gymnastics etc.			
Q. What things do you find a bit tricky in school?  - e.g. adding, reading, spelling, making friends, listening, concentrating, writing, finishing my work etc.			
Q. How can we help you in school from now on?  - e.g. writing frames, Lexia, playground buddy, daily quiet time, spelling mat; extra time to finish numeracy, comic strips, achievements book, pop up tent, calm down and self-talk strategies, role play, having extra time to answer etc.			
Signed by pupil			

Signed by supporting adult \_\_\_\_\_



FORM YPC VERSION 2

# Appendix 4: Annual Review Report – Pupil's Contribution (Version 2)

Answers can be written and/or drawn.

Questions	Answers		
Q. What do you enjoy doing at school?			
Q. What are you getting better at doing in school?			
Q. What do you enjoy doing when you are not in school?			
What things do you find a bit tricky in school?			
How can we help you in school from now on?			
Signed by pupilSigned by supporting adult (if relevant)			
Data			



### <u>Annual Review Report – Post Primary Pupil's Contribution</u>

Prompt ideas and examples to help pupils give their views		
What are your strengths?	e.g. subjects and/ or skills e.g. conscientious, hardworking	
Tell us about a subject, or an area of school life, that you have made progress with.	e.g. working with my study buddy/in a group; going over my work with teachers/CA; answering in class; following my individualised timetable; completing homeworks; maths etc.	
What do you enjoy doing in your free time outside of school?	e.g. football, Gaelic; guitar; after school's club; youth club; gymnastics, community groups etc.	
What things do you find difficult in school?	e.g. writing essays; having the right books for each lesson; spelling; making friends; listening; concentrating; keeping up in class; maths; keeping calm etc.	
Is there anything we can do differently over the next year that would support you in school? (with your work, at break times, with your friends, in classes, with homeworks, in free periods, with any worries you have.)	e.g. writing frames; Lexia; Read, Write Gold; class breaks; comic strips; mentoring/counselling sessions; hand-outs from lessons; reduced timetables; access arrangements; de-escalation strategies; involvement in target setting and tracking etc.	



## <u>Annual Review Report – Post Primary Pupil's Contribution</u>

My Views about my Progress			
Pupil name:	DoB:		
Responses to be either written by pupil or with adult assistance in support of gaining pupil views.			
Q. What are your strengths?			
Q. Tell us about a subject, or an area of school life, that you have made progress with.			
Q. What do you enjoy doing in your free time outside of school?			

Q. What things do you find difficult in school?		
Q. Is there anything we can do differently over the next year that would support you in school? (with your work, at break times, with your friends, in classes, with homework, in free periods, with any worries you have).		
Signed by pupil		
Signed by supporting adult (if relevant)		

Form YPC – Post Primary Pupil Views



### **Appendix 5: Transfer/ Change of Placement**

Before completing this form please read carefully the Notes of Guidance

Pupil ID	No Pupil's Name	Date of Birth
Section	School Preferences	
1 <sup>st</sup>		
2 <sup>nd</sup>		
3 <sup>rd</sup>		
4 <sup>th</sup>		
Section I	B Parents' Remarks – including reasons for choice, sp	pecial circumstances (if any)
Section C I wish the Education Authority to seek placement for my child in the above school(s) in order of preference listed above.		
Signed		Date
Signed		Dutc

This form should be signed by all persons with parental responsibility